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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

RICHARD J. STOEHR,

Plaintiff,

v.

UBS SECURITIES LLC AND DOES 1
16 THROUGH 50,

Defendants.

CASE NO. C-08-00185 MEJ

DEFENDANT UBS SECURITIES LLC'S
DISCLOSURE STATEMENT
PURSUANT TO FED. R. CIV. P. 7.1 &
CIVIL L.R. 3-16

1 Pursuant to Federal Rule of Civil Procedure 7.1 and Civil Local Rule 3-16, the
2 undersigned certifies that: (1) UBS Securities LLC is an indirect, wholly-owned subsidiary of
3 UBS AG; (2) no publicly-held corporation, other than UBS AG, holds 10% or more of UBS
4 Securities LLC's stock; and (3) the following listed persons, associations of persons, firms,
5 partnerships, corporations or other entities (i) have a financial interest in the subject matter in
6 controversy or in a party to the proceeding, or (ii) have a non-financial interest in that subject
7 matter or in a party that could be substantially affected by the outcome of this proceeding: UBS
8 AG, and UBS Americas, Inc.

9 At this time, the undersigned is not aware of any other interested individuals or entities,
10 but reserves the right to file a supplemental statement upon any change in the information that
11 this statement requires.

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Respectfully submitted,

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Dated: February 15, 2008

KEKER & VAN NEST, LLP

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By: /s/ Eric MacMichael
ERIC MACMICHAEL
Attorneys for Defendant
UBS SECURITIES LLC

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